

ATTORNEY'S DOCKET NO. S01400/70001 DPM

DAC/8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Douglas M. Winneg and Raymond Hayland  
Serial No. : 09/826,310  
Filing Date : April 3, 2001  
For : Securely Executing an Application on a Computer System  
Examiner : Not Yet Assigned  
Art Unit : 2131

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX DAC, Commissioner for Patents, Washington, D.C. 20231 on October 22 2001.

Signature

BOX DAC  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

- ☒ Copy of Notice to File Missing Parts
- ☒ Fee Calculation Sheet
- ☒ Declaration
- ☒ Petition Under 37 C.F.R. 1.47(a)
- ☒ Statement of Facts of Douglas M. Winneg Under 37 C.F.R. 1.47(a), including Exhibits A-E
- ☒ Petition for Two Month Extension of Time
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$1,605.00 is enclosed to cover the fees, detailed on the attached Fee Calculation Sheet. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:   
Daniel P. McLoughlin, Reg. No. 46,066  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Tel. No.: (617) 720-3500

Docket No.: S01400/70001 DPM  
Dated: October 22, 2001  
x10/22/01  
569481.1

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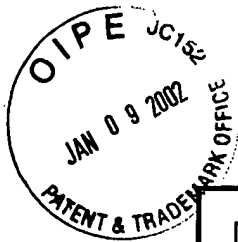
Inventor(s): Winneg et al.

Serial No: 09/826,310

Filed: April 3, 2001

For: Securely Executing an Application on a Computer System

CHECK BOX, if applicable:

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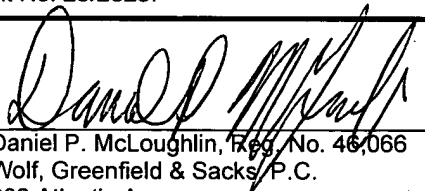
## Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	90-20=	70x	\$ 18	= \$ 1,260.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	8-3=	5 x	\$ 84	= \$ 420.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Fee for Petition for Extension of Time (if any)				\$ 400.00
	Total of above Calculations =				\$ 2,820.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 1,410.00
	Response to Notice to File Missing Parts				\$ 65.00
	Petition under 37 C.F.R. §1.47(a)				\$ 130.00
	TOTAL =				\$ 1,605.00

1. A check in the amount of \$1,605.00 is enclosed.

## General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

  
 Daniel P. McLoughlin, Reg. No. 46,066  
 Wolf, Greenfield & Sacks/P.C.  
 600 Atlantic Avenue  
 Boston, MA 02210-2211  
 (617) 720-3500  
 Attorneys of Record

Docket No. S01400/70001 DPM  
 Date: October 22, 2001

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,310	04/03/2001	S01400/70001DPM	S01400/70001DPM

CONFIRMATION NO. 4169

## FORMALITIES LETTER



\*OC00000006207244\*

23628  
WOLF GREENFIELD & SACKS, PC  
FEDERAL RESERVE PLAZA  
600 ATLANTIC AVENUE  
BOSTON, MA 02210-2211

Date Mailed: 06/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/10/2002 GTEFFERA 00000125 09826310

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	630.00 OP
04 FC:202	210.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$790.
  - \$630 for 70 total claims over 20.
  - \$160 for 4 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1210.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4").*

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- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE